

Preparation for charm analysis

Activities of the PWG3-D2H group

*Andrea Dainese
(INFN Padova)*

D2H – Charm (*D* mesons) → Hadrons

TWiki: <https://twiki.cern.ch/twiki/bin/view/ALICE/PWG3Hadron>

Charm to Hadrons *D2H* paper preparation subgroup of ALICE PWG3

Welcome to the TWiki page of the hadronic charm subgroup of the ALICE heavy flavour working group (PWG3).

This subgroup focuses on the preparation of the first charm production measurements using hadronic decay channels ($D^0 \rightarrow K\pi$, $D^0 \rightarrow K\pi\pi\pi$, $D^* \rightarrow D^0\pi$, $D^+ \rightarrow K\pi\pi$, $D_s \rightarrow K\bar{K}\pi$, $L_c \rightarrow p\bar{K}\pi$, ...).

We meet every month, just before the PWG3 meeting.

The next meeting is scheduled for Tuesday September 22 at 10:30 (room 160-R-009 at CERN).

Calendar and agendas of our meetings (PWG3 Paper Preparation (D2H)).

Mailing list: [alice-charm-hadronic at cern.ch](mailto:alice-charm-hadronic@cern.ch)

Activities and tasks

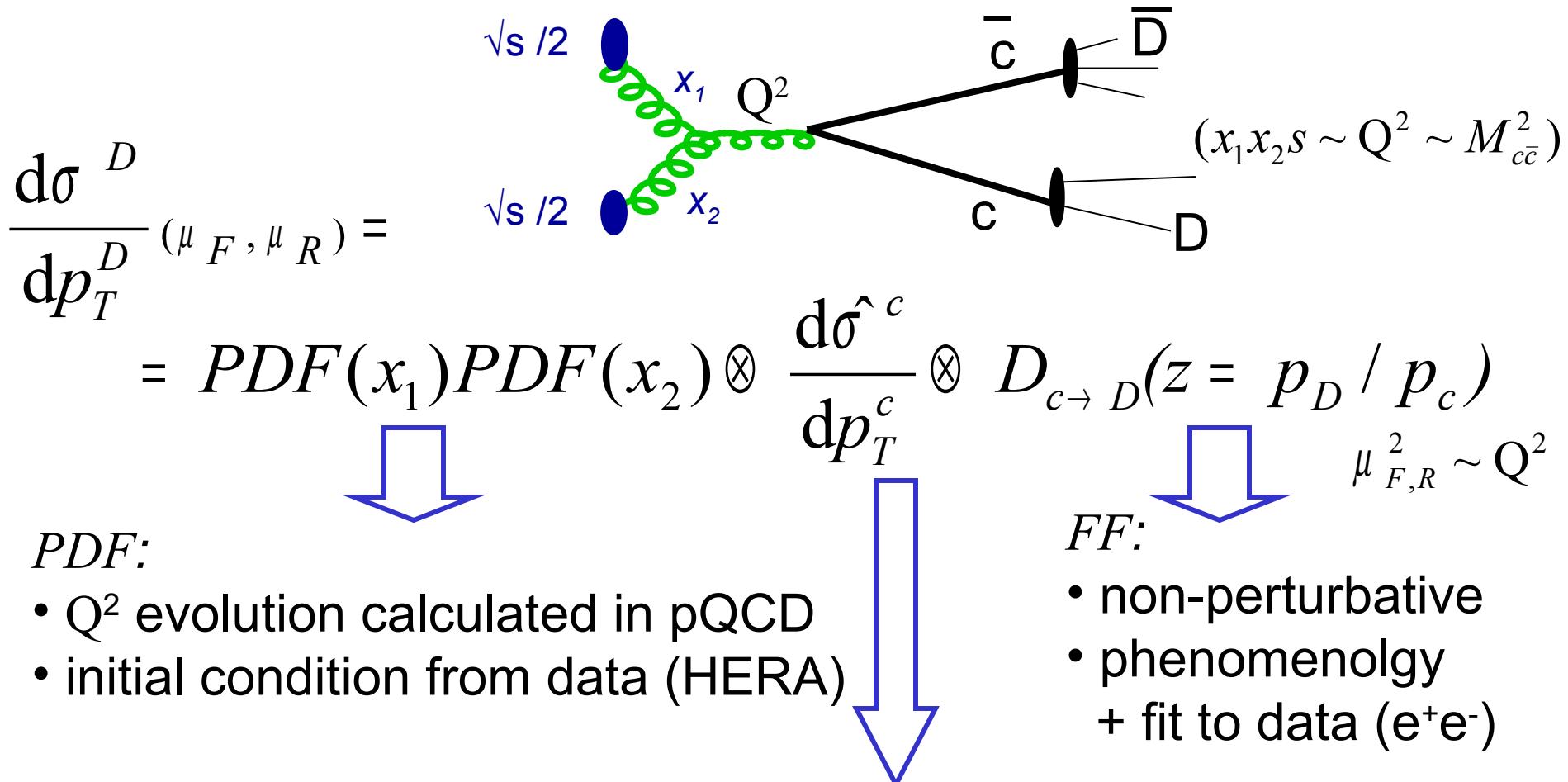
- ↓ [ITS alignment and tracking performance](#)
- ↓ [Heavy flavour vertexing software](#)
- ↓ [D2H analysis train](#)
- ↓ [Visualization](#)
- ↓ [MC datasets](#)
- ↓ [Signal significance maximisation](#)
- ↓ [Background evaluation and subtraction](#)
- ↓ [PID](#)
- ↓ [Corrections \(acceptance, efficiency\)](#)
- ↓ [Correction for feed-down from beauty](#)
- ↓ [Cross section normalisation](#)

People:
PD, BA, TS, TO,
Utrecht, CERN,
Heidelberg, GSI, ...

Introduction: Heavy quarks production in pp collisions at LHC

Heavy-flavour production: pp

proton-proton collisions: factorised pQCD approach



calculable as perturbative series of strong coupling $\alpha_s(\mu_R)$

- Calculation of partonic cross section $\hat{\sigma}$

- classic: Fixed Order (NLO) Massive (e.g. MNR code)

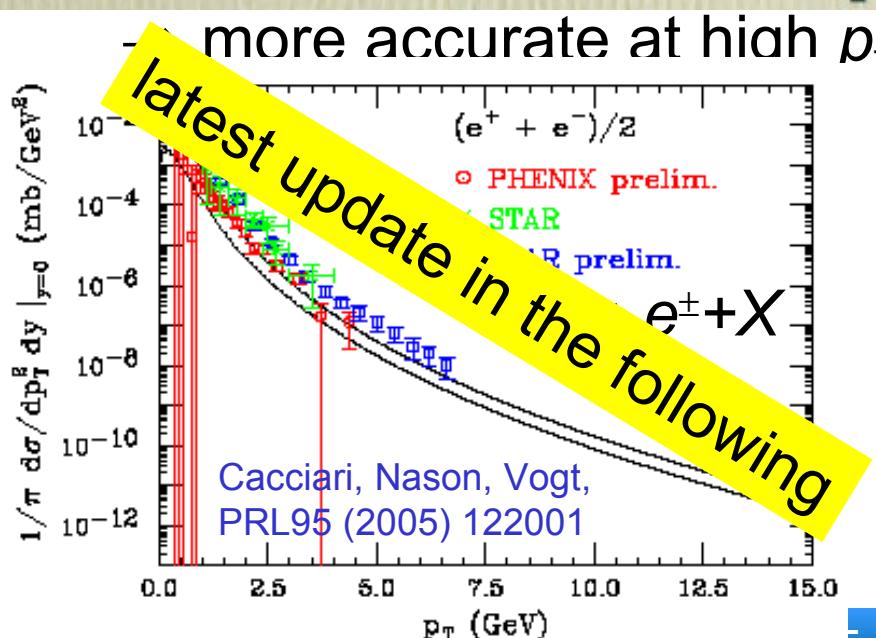
$$\frac{d\sigma}{dp_T} = A(m)\alpha_s^2 + B(m)\alpha_s^3 + O(\alpha_s^4) \quad B(m) = \beta(m) + \gamma(m)\log(\mu/m)$$

- state-of-the-art: Fixed Order Next-to-Leading Log (FONLL) $\mu \approx p_T$

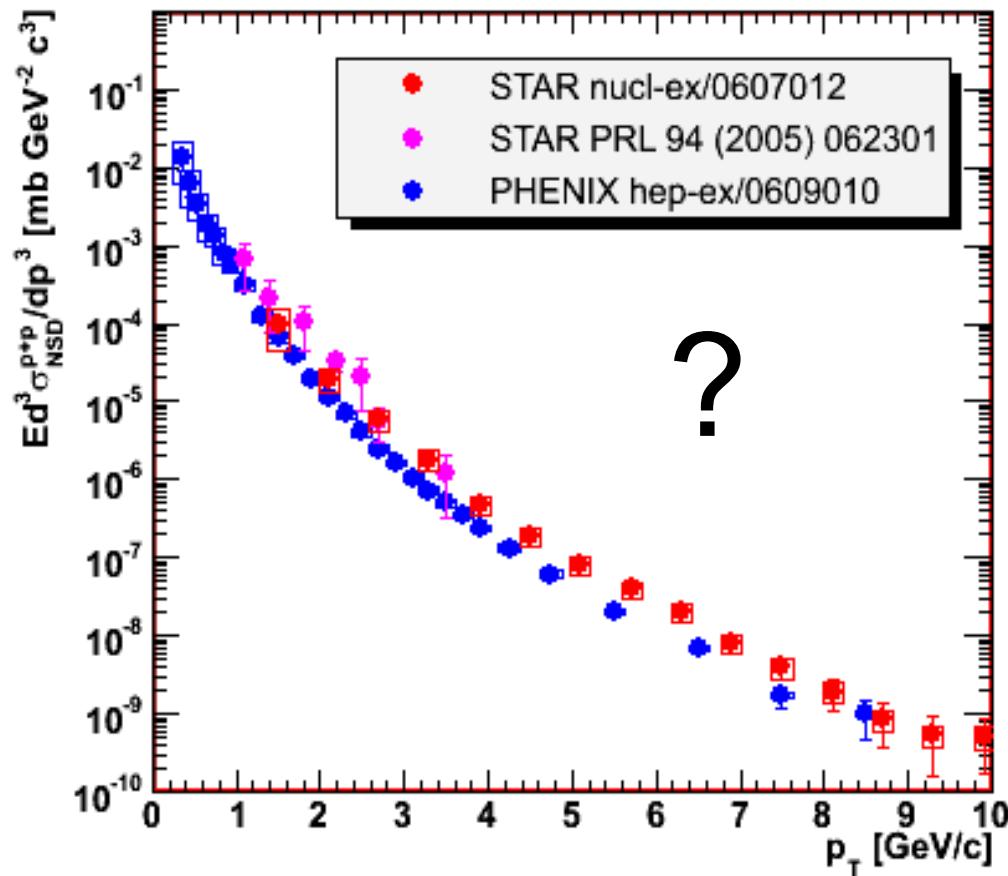
$$\frac{d\sigma}{dp_T} = A(m)\alpha_s^2 + B(m)\alpha_s^3 + G(m, p_T) \left[\alpha_s^2 \sum_{i=2}^{\infty} a_i [\alpha_s \log(\mu/m)]^i + \alpha_s^3 \sum_{i=1}^{\infty} b_i [\alpha_s \log(\mu/m)]^i \right]$$

B production at Tevatron (1.96 TeV)
 Charm production slightly underpredicted at Tevatron (1.96 TeV)
 is well described by FONLL
 & at RHIC (200 GeV)

FONLL: Cacciari, Frixione,
 Mangano, Nason and Ridolfi,
 JHEP0407 (2004) 033



Heavy-flavour electrons at RHIC (pp baseline)



FONLL:

electron spectrum may be
 ~50% c + ~50% b
 for $3 < p_T < 8 \text{ GeV}$

Large uncertainty on b/c
 crossing point in p_T : from
 scales/masses variation it
 changes from 3 to 9 GeV

PHENIX electron cross
 section in pp now quite OK
 also at high p_T

FONLL calculation: Cacciari, Nason, Vogt, PRL95 (2005) 122001

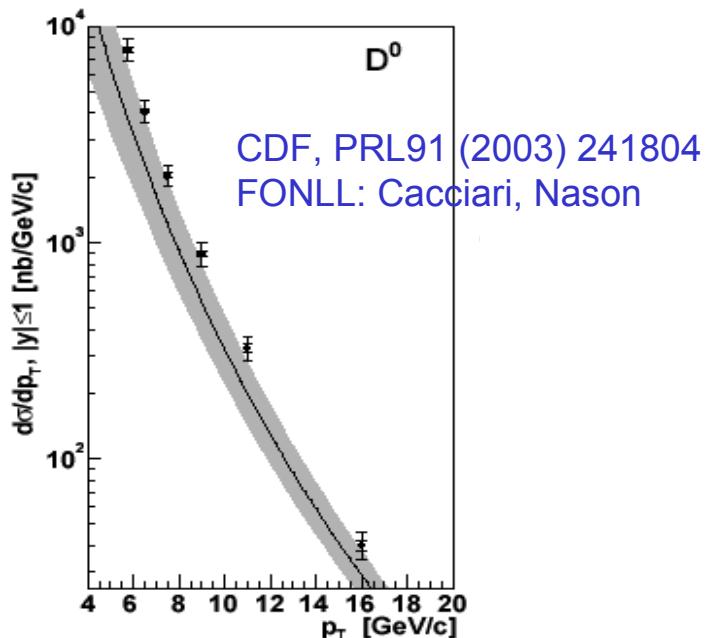
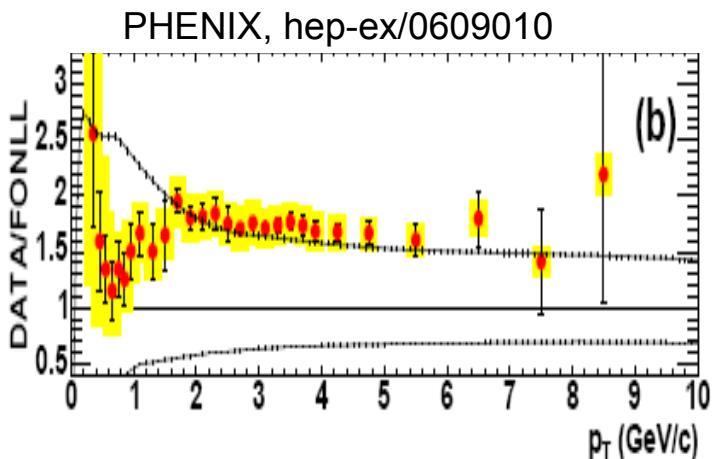
Drell-Yan from: Gavin et al., hep-ph/9502372

Comparison: Armesto, Cacciari, Dainese, Salgado, Wiedemann, PLB637 (06) 326

- ◆ **Tevatron:** c and b production relatively well understood
 - ❖ theory: leading log resummation (FONLL); parton shower matched to NLO (MC@NLO); accurate treatment of fragmentation (Cacciari et al.: matching of pert. σ_{hat} and non-pert. fragm. from ee to pp)
 - ❖ experiment: exclusive (or less inclusive) is better (D mesons, $B \rightarrow J/\psi + X$), publish what you measure (not only extrapolations)

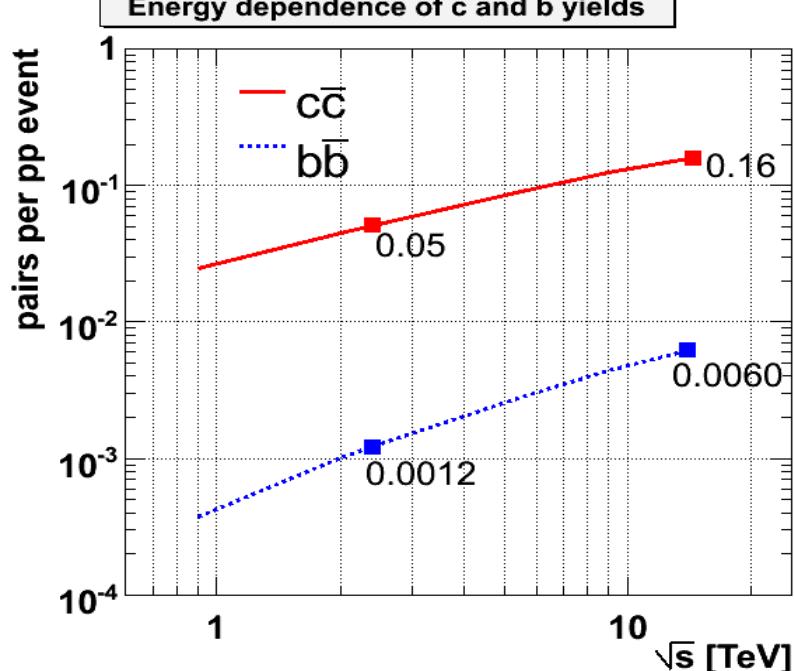
- ◆ **RHIC:**

- ❖ data at upper limit of theory prediction
- ❖ need separation of c and b



INFN **Heavy-quark production at the LHC** **ALICE**

- pp: Important test of pQCD in a new energy domain
- Remember the “15-years saga of b production at Tevatron”*
- Baseline predictions: NLO (MNR code) in pp + binary scaling (shadowing [EKS98] included for PDFs in the Pb)
- e o Al ICF baseline for charm / beauty



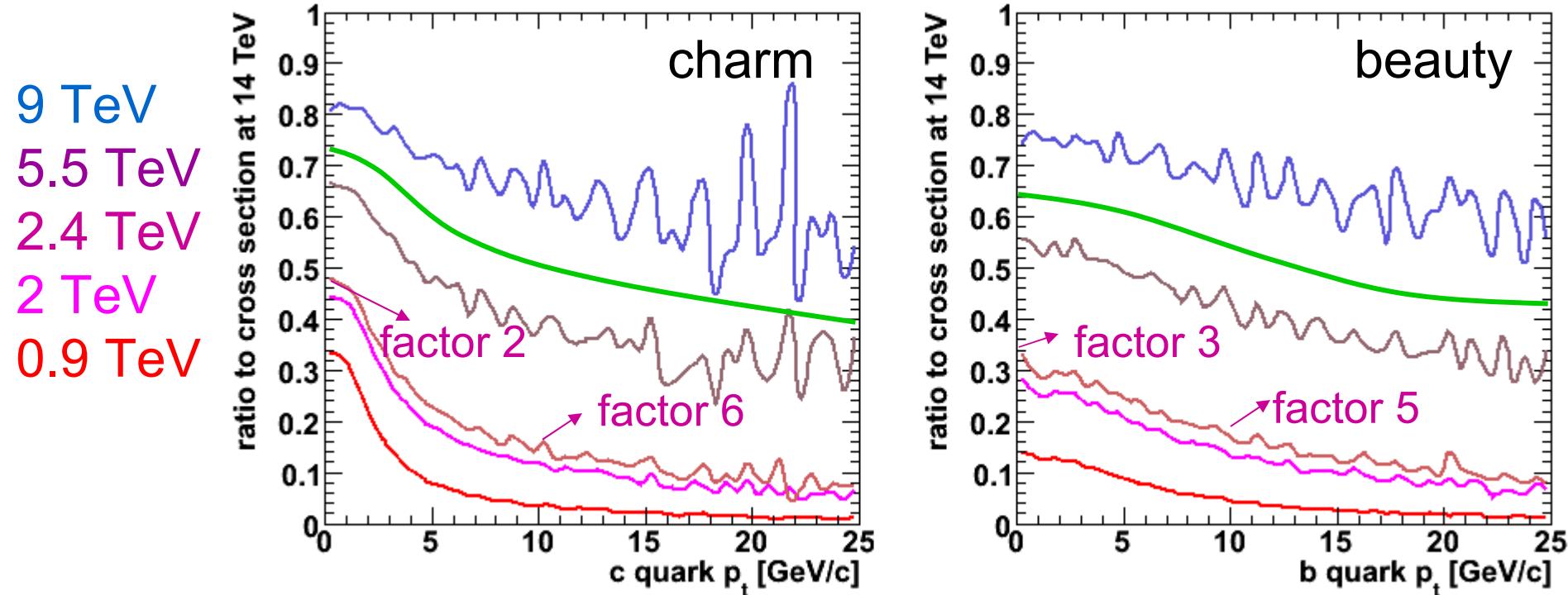
LHC startup at $\sqrt{s} = 7$ TeV?
 → yields lower by
 $\approx 30-35\%$
 (next slide)

pp	14 TeV
11.2	0.5
0.16	0.007
--	

2—3 (next slide)

Single inclusive p_t (ratios to 14 TeV)

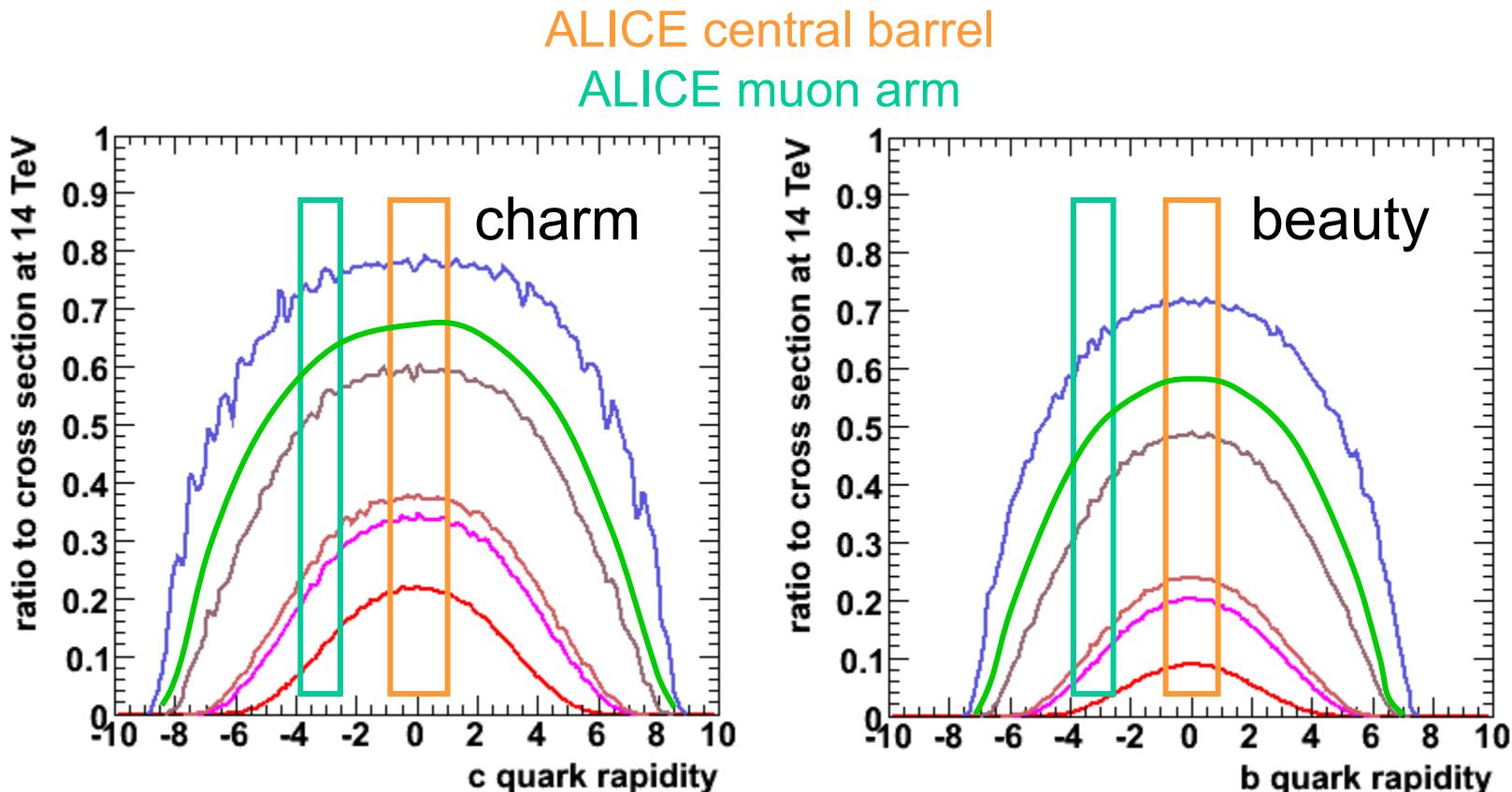
$|y| < 1$



Ratio decreases with increasing p_t ;
more strongly for charm

Single inclusive γ (ratios to 14 TeV)

9 TeV
5.5 TeV
2.4 TeV
2 TeV
0.9 TeV



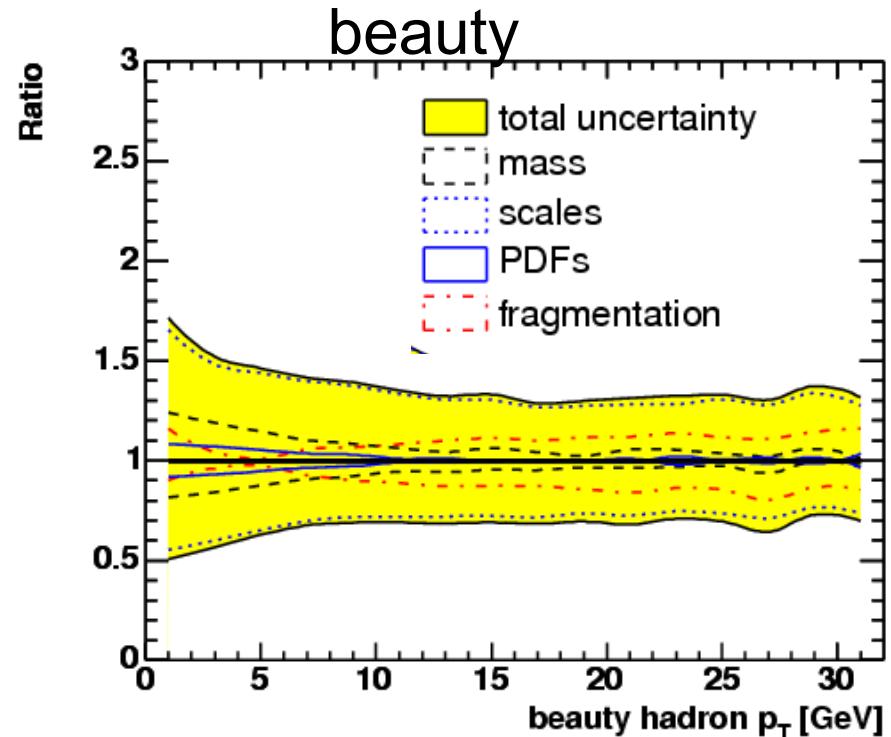
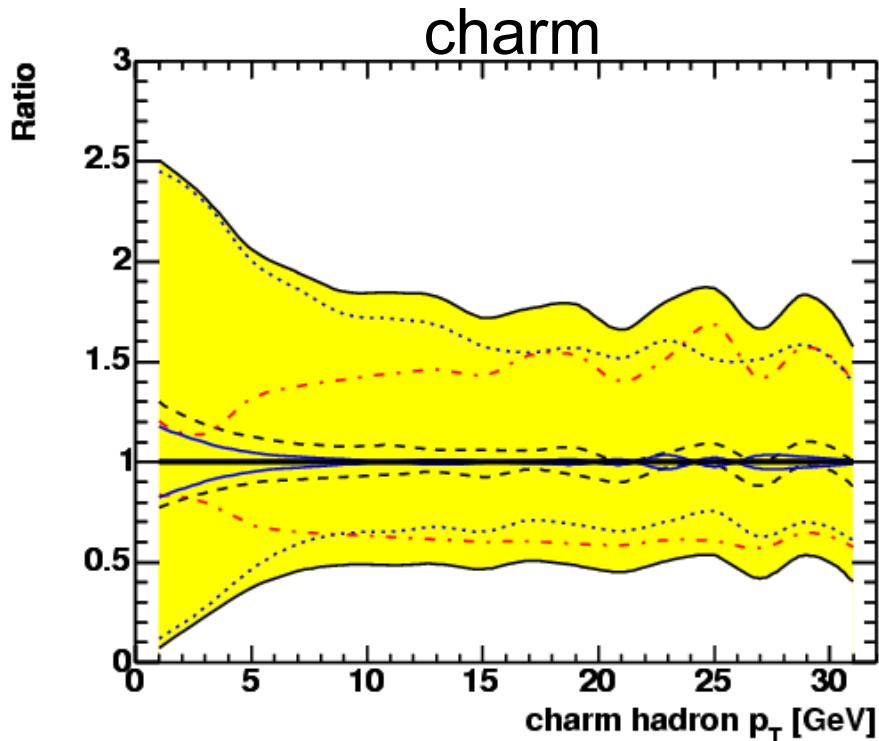
Decrease larger at forward rapidity,
which is dominated by small x

Theoretical Uncertainties

(HERA-LHC Workshop)

CERN/LHCC 2005-014
hep-ph/0601164

Evaluation of theoretical uncertainties



$$1.3 < m_c < 1.8 \text{ GeV}$$

$$0.5 < \mu_{F,R} / m_T < 2$$

$$0.002 < \varepsilon_c < 0.11$$

$$4.5 < m_b < 5.0 \text{ GeV}$$

$$0.5 < \mu_F / \mu_R < 2$$

$$0.0002 < \varepsilon_b < 0.004$$

PDFs : CTEQ4, CTEQ5, CTEQ6, MRST2001

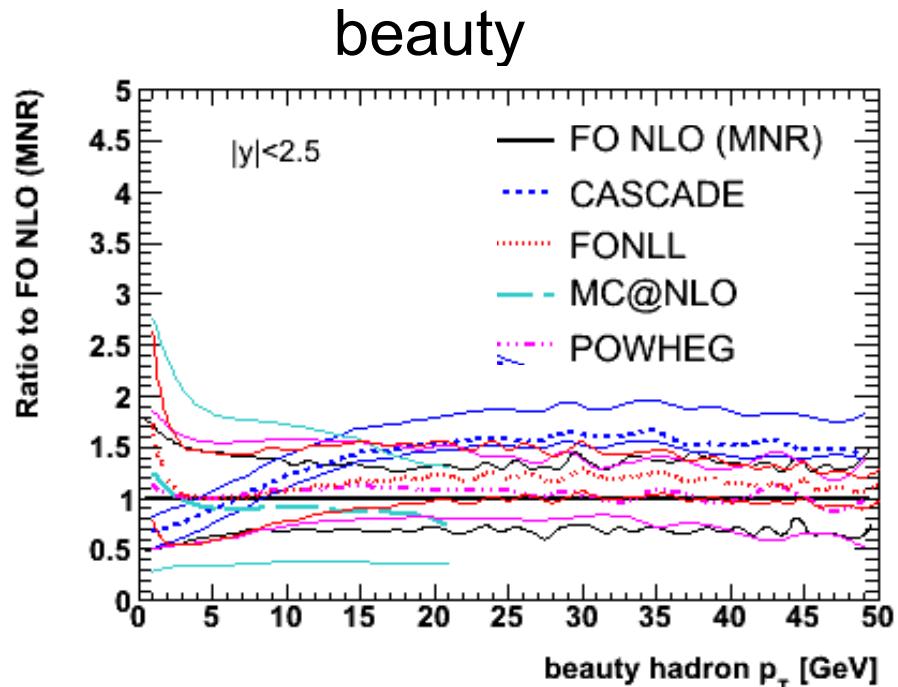
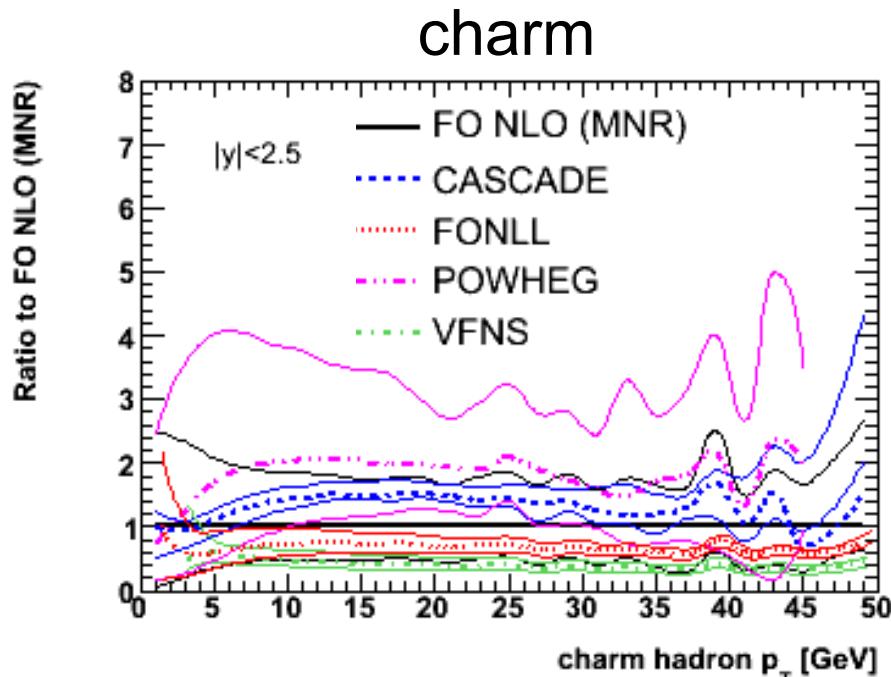
MNR code: Mangano, Nason, Ridolfi, NPB373 (1992) 295.

Model Comparisons

(HERA-LHC Workshop)

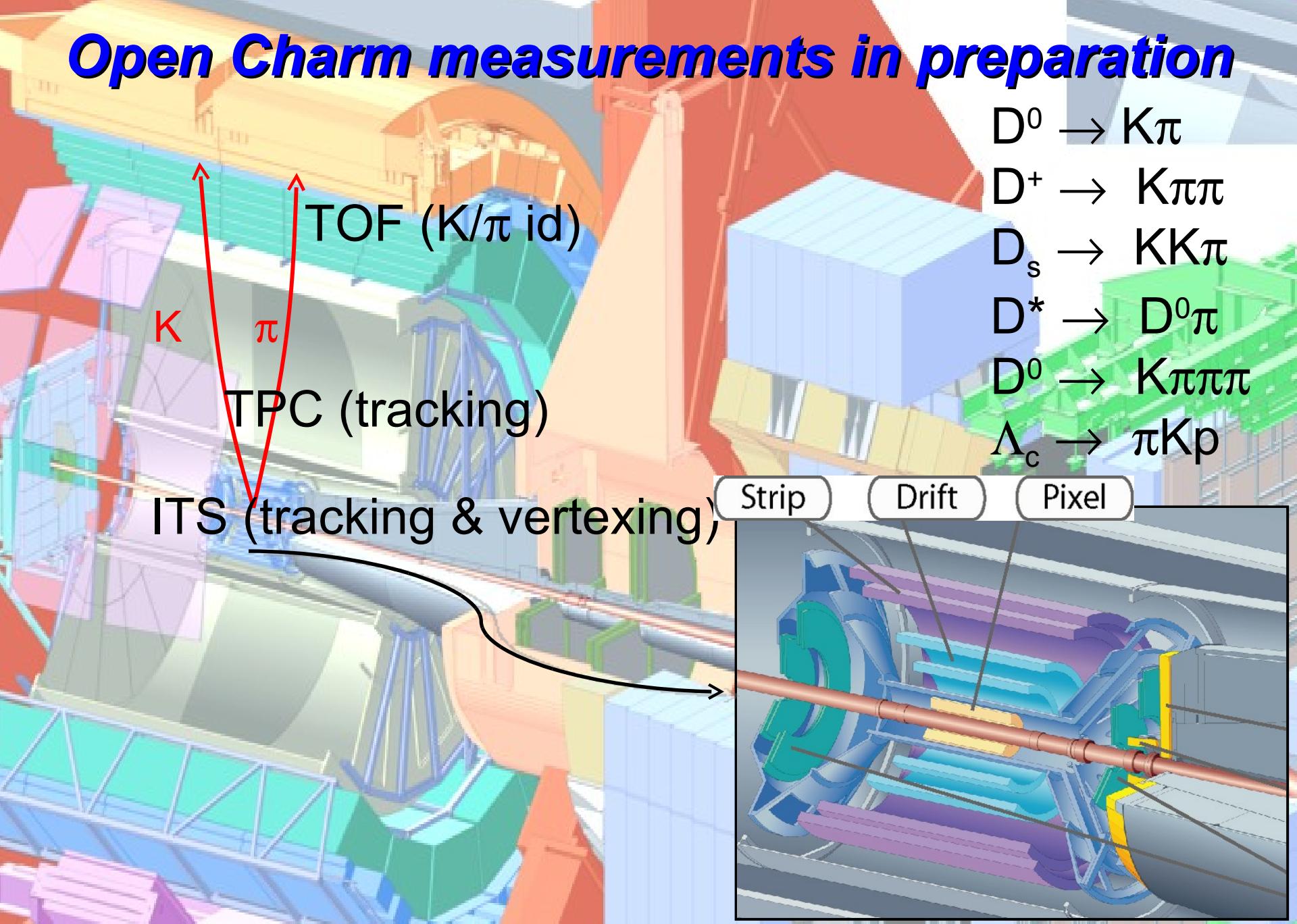
CERN/LHCC 2005-014
 hep-ph/0601164
 + updates (R.Guernane)

Compare predictions by several different models



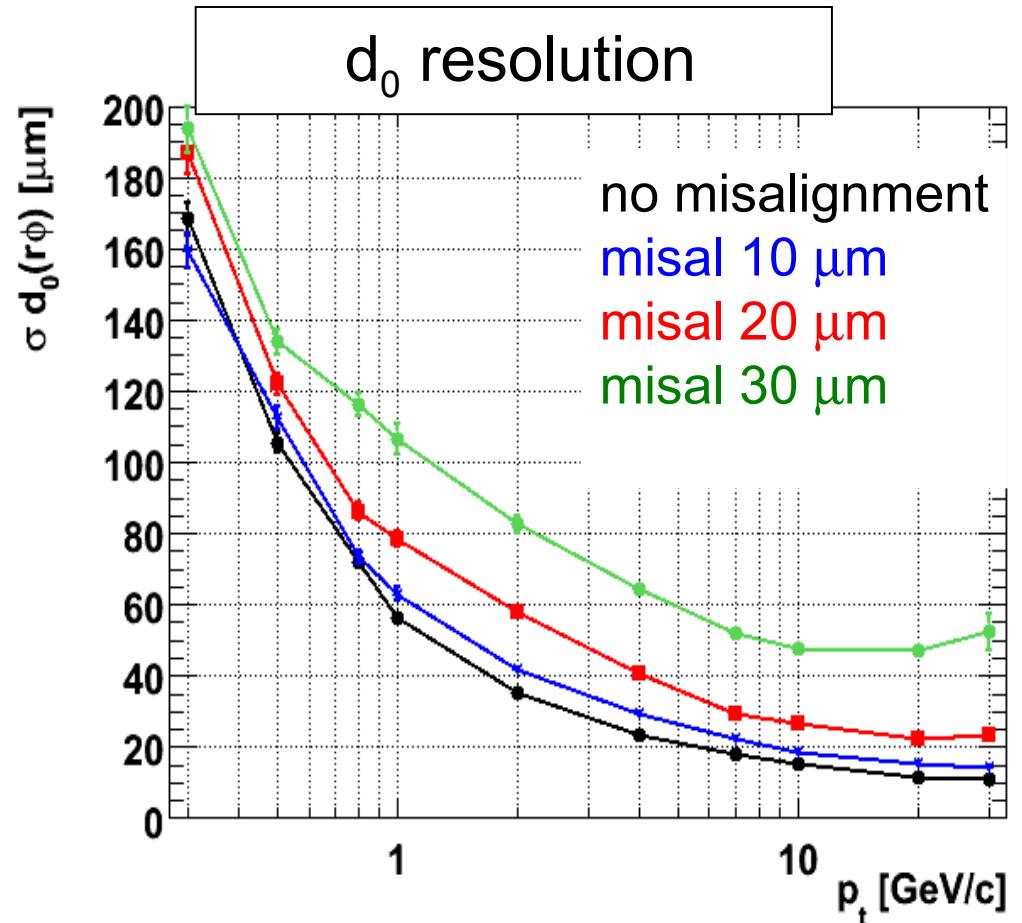
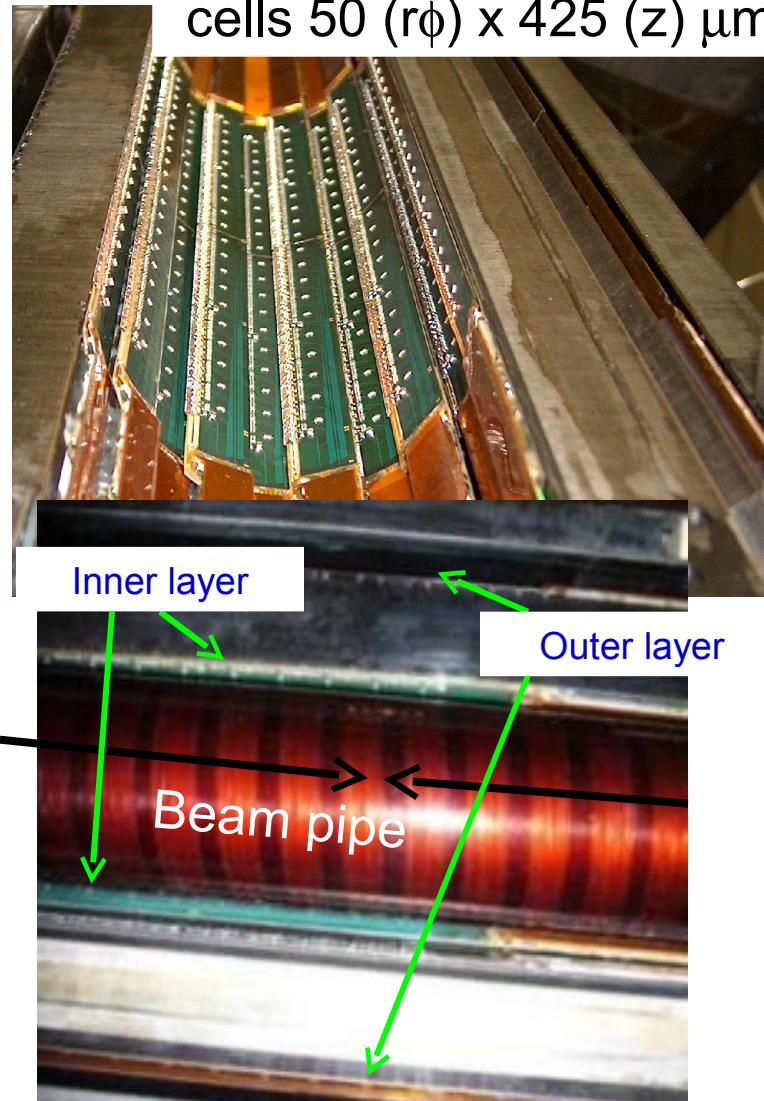
- General agreement between collinear factorisation based calculations: FO NLO, FONLL, POWHEG, MC@NLO
 - problem with POWHEG charm?
- k_T factorization (LO MC CASCADE) higher at large p_T
- VFNS lower at high p_T

Open Charm measurements in preparation



Vertexing: track d_0 resolution

Resolution mainly provided by the 2 layers of silicon pixels --9.8 M cells 50 ($r\phi$) x 425 (z) μm^2 -- at 4 and 7 cm from the beam line



Alignment is crucial for HF!
→ Marcello, Andrea

HF vertexing

Analysis Scheme & Activities

Charm “production” (ESD/AOD → AOD for Charm)



Signal selection

Invariant mass analysis and significance maximization (in bins of p_t , y , $\phi - \Phi_{RP}$...)

$N_{selected}^{D^+ \rightarrow K\pi}$



Corrections

Feed-down from B, Eff&Acc corrections

$$\left. \frac{dN_{produced}^{D^0}}{dp_t dy} \right|_{|y|<1}$$



Systematics

$$\left. \frac{dN_{produced}^{D^0}}{dp_t dy} \right|_{|y|<1} \pm err$$

HF vertexing

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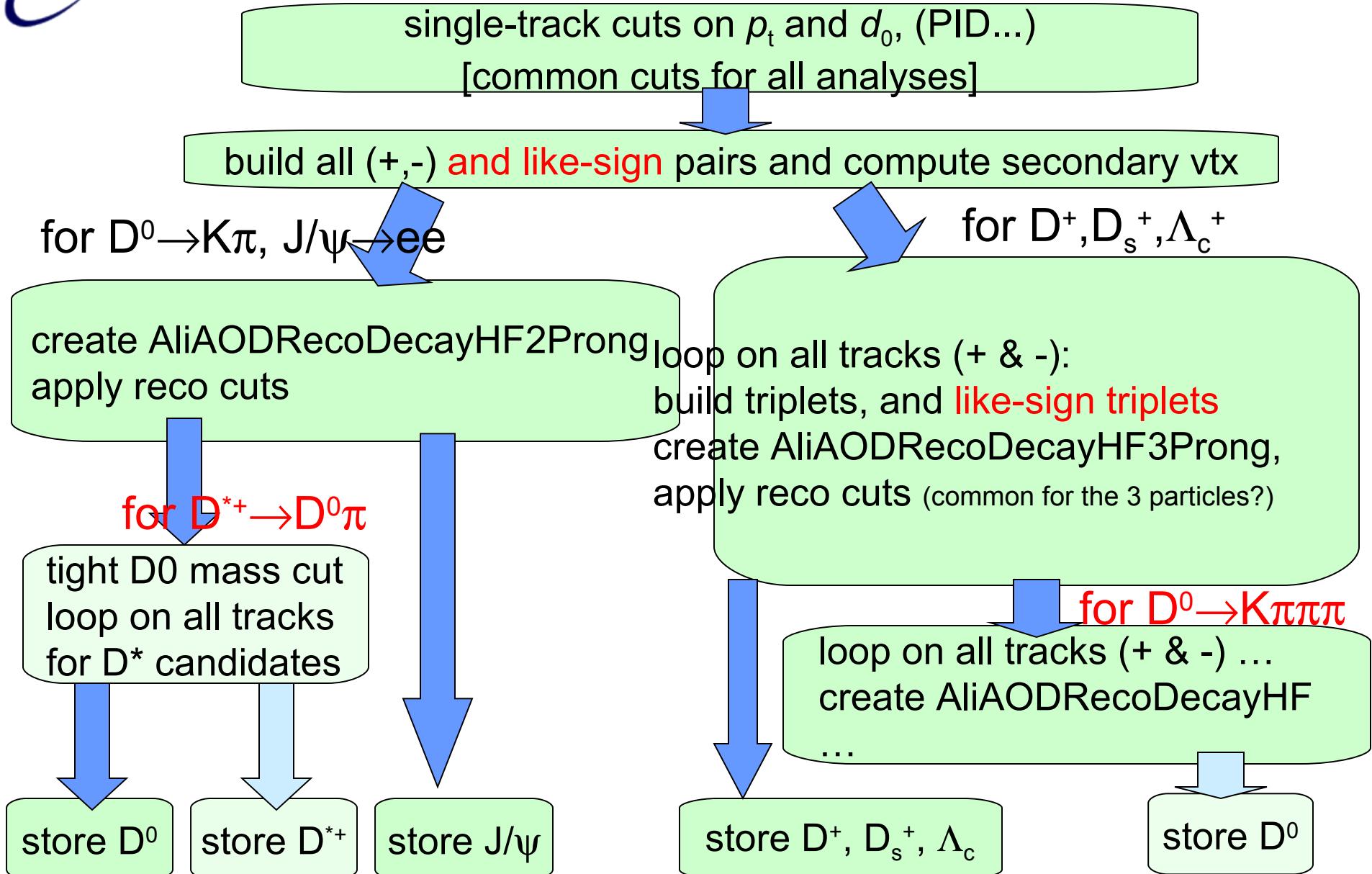


$$\left. \frac{dN_{produced}^{D^0 from c}}{dp_t dy} \right|_{|y|<1} \pm err$$

Heavy-flavour vertexing: production of candidates

- ◆ Produce all the candidate charm decays in go: 2-prong, 3-prong, 4-prong, cascades.
 - ❖ $D^0 \rightarrow K\pi$
 - ❖ $D^+ \rightarrow K\pi\pi$, $D_s \rightarrow KK\pi$, $\Lambda_c \rightarrow pK\pi$
 - ❖ $D^0 \rightarrow K\pi\pi\pi$
 - ❖ $D^{*+} \rightarrow D^0\pi$
 - ❖ like-sign background for 2-prong and 3-prong
- ◆ Implemented in a task that is a wagon of the Official Analysis Train
- ◆ Input can be either ESD or AOD (same software)
- ◆ Output written to AOD event
- ◆ Candidates produced for 3 PDC09 pp productions:
 - ❖ LHC09a4 pp minimum bias 100 M
 - ❖ LHC09a5 pp with charm, D2H 7 M
 - ❖ LHC09a6 pp with beauty, D2H 1 M

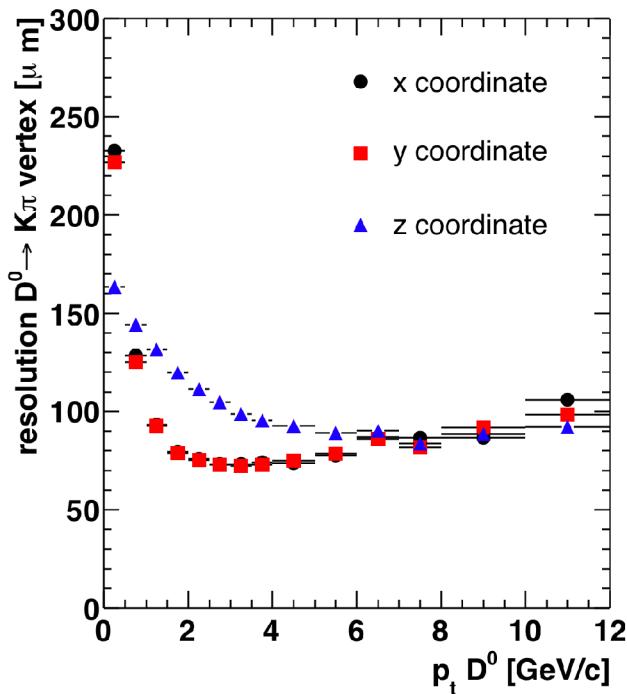
AliAnalysisVertexingHF class



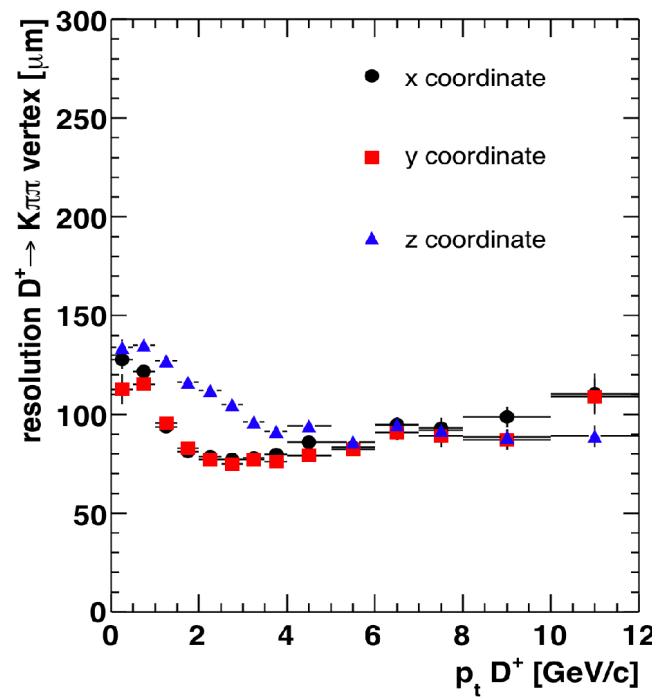
Secondary vertex reconstruction

- ◆ Possibility to choose between two vertexers:
 - ❖ AliVertexerTracks (default; same used for primary vertex reco)
 - ❖ Kalman-filter vertexer
- ◆ Secondary vertex resolutions: D^0 vs D^+

$D^0 \rightarrow K\pi$

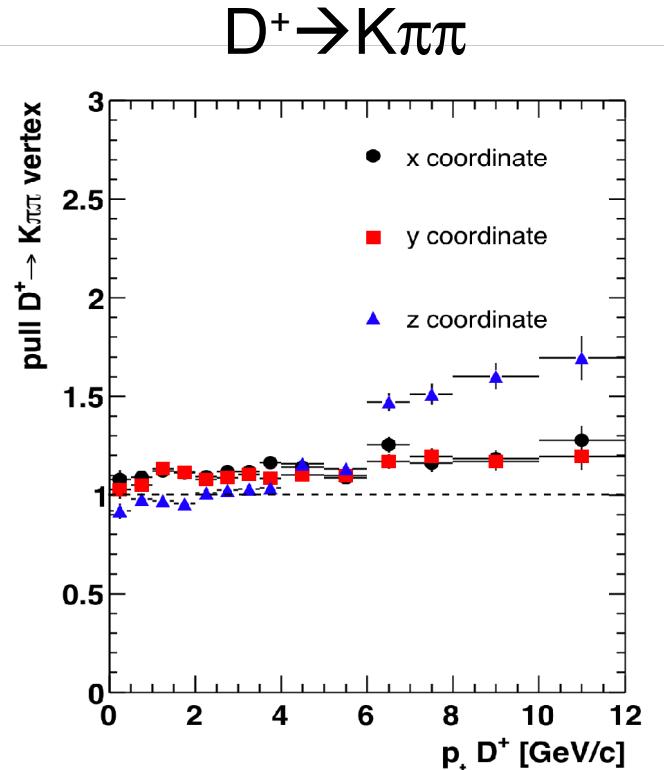
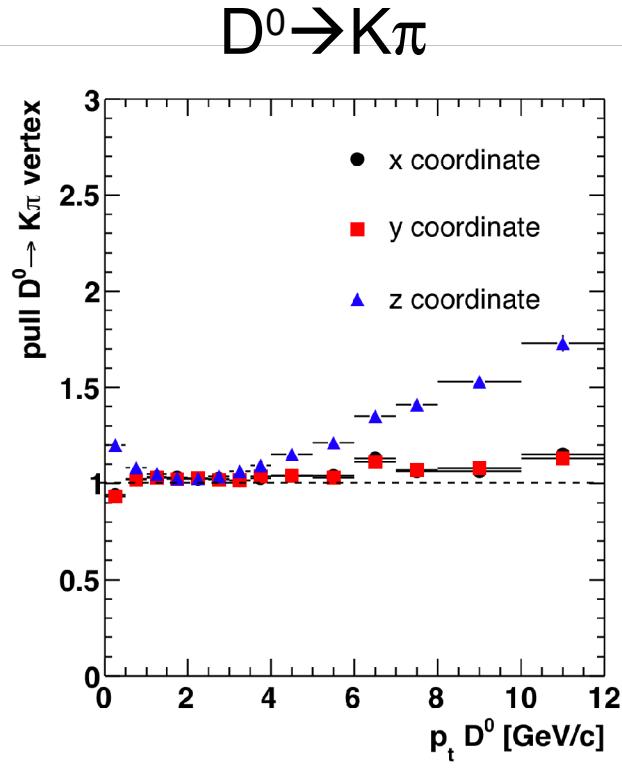


$D^+ \rightarrow K\pi\pi$



Secondary vertex reconstruction

- ◆ Possibility to choose between two vertexers:
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 - ❖ Kalman-filter vertexer
- ◆ Secondary vertex pulls: D^0 vs D^+



HF candidates in AliAODEvent

AliAODEvent

aodTree in AliAOD.root
(standard AOD, unchanged)

tracks

AliAODTrack
AliAODTrack
AliAODTrack

...

V0 vertices
(from ESD)
AliAODVertex
AliAODVertex
AliAODVertex
...

friend aodTree
in AliAOD.VertexingHF.root

verticesHF

AliAODVertex
AliAODVertex

D0toKpi

AliAODRecoDecay
AliAODRecoDecay
...

Dstar

AliAODRecoDecay
AliAODRecoDecay
...

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Invariant mass analysis and significance maximization (in bins of p_t , y , $\phi - \Phi_{RP}$...)

Corrections

Feed-down from B, Eff&Acc corrections

Systematics

$$N_{selected}^{D^+ \rightarrow K\pi}$$

$$\left. \frac{dN^{D^0 \text{ from } c}}{dp_t dy} \right|_{|y|<1}$$

$$\left. \frac{dN^{D^0 \text{ from } c}}{dp_t dy} \right|_{|y|<1} \pm err$$

Heavy-flavour vertexing: Analysis of candidates from AOD

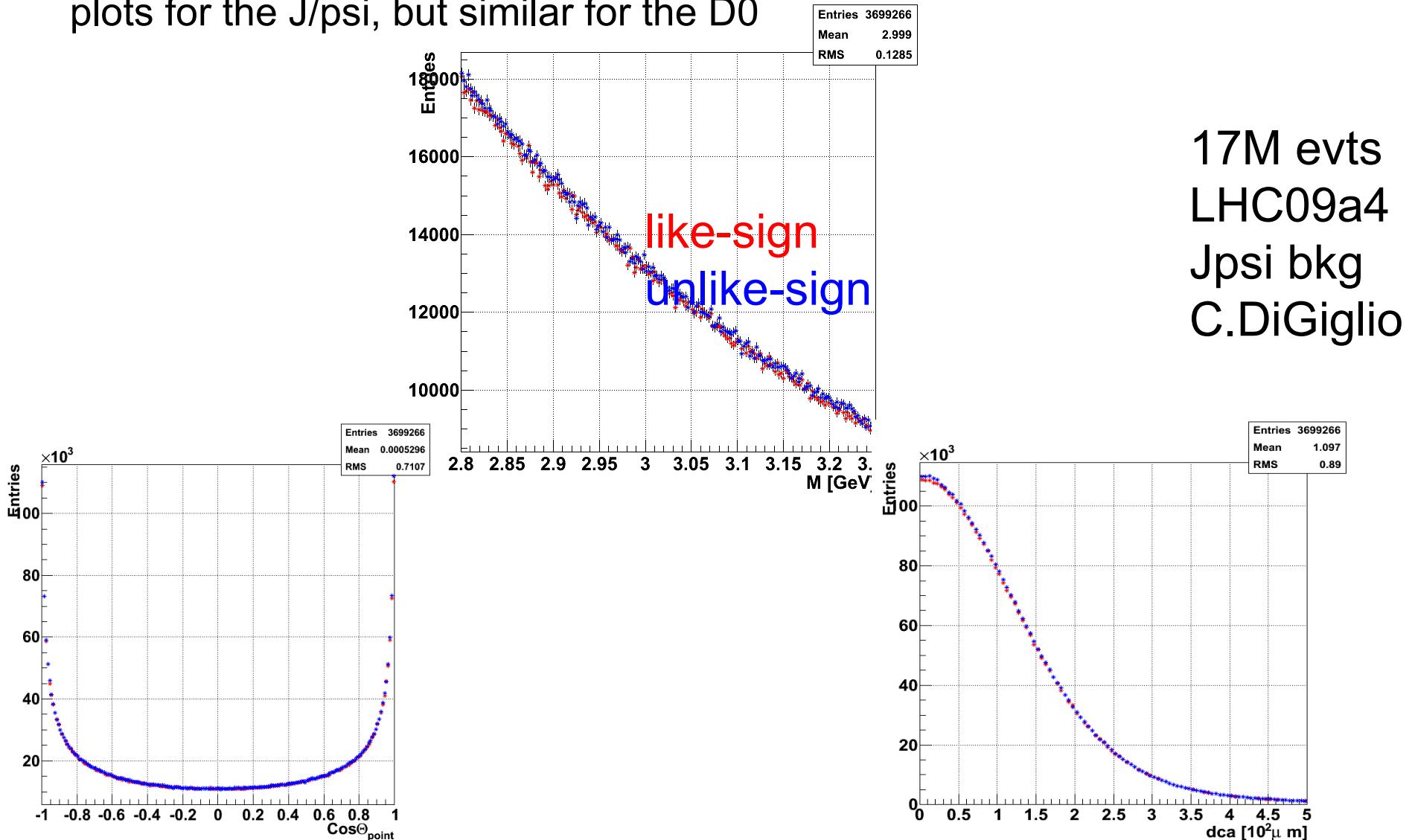
- ◆ Input: AliAOD.root + AliAOD.VertexingHF.root
- ◆ Prepared “D2H” analysis train:
 - ❖ Steering macro RunAnalysisAODVertexingHF.C
 - ❖ 12 wagons (Task, TaskSE) up to now
 - CompareHF (vertex resolutions)
 - D0 inv mass
 - Dplus analysis
 - Like-sign bkg $D0 \rightarrow K\pi$
 - Like-sign bkg $J/\psi \rightarrow ee$
 - Analysis for J/ψ from B
 - $D0 \rightarrow K\pi$ CORRFW task
 - 5 x Prompt D0 fraction (with 5 sets of cuts)
 - ❖ runs on the grid with Alien plugin (up to 38 M min-bias events)
 - ❖ runs on CAF

Signal raw yield extraction

- ◆ Invariant mass fitter to extract S and B (\rightarrow Chiara)
- ◆ Cut study (\rightarrow Chiara)
- ◆ If S/B is small ($<< 50\%$), need to subtract background to increase significance
- ◆ 3 subtraction methods being prepared:
 - ❖ like-sign
 - LS pairs and triplets stored by default
 - ❖ event mixing
 - use AliAnalysisTaskME and AliMixedEvent \rightarrow ongoing integration in the “core” class AliAnalysisVertexingHF
 - tests at the track level (no vertexing)
 - ❖ rotated events (rotate negative tracks about z axis)

Background subtraction: like-sign pairs

plots for the J/psi, but similar for the D0



17M evts
LHC09a4
Jpsi bkg
C.DiGilio

Background subtraction: event mixing

- ◆ Use standard event mixing framework to mix AOD events (AliAnalysisTaskME)
- ◆ Use abstract event interface also for mixed events
 - ❖ exactly the same code for single-event and mixed-events
- ◆ Use event pools binned in
 - ❖ z-vertex
 - ❖ multiplicity
 - ❖ “jettiness” (still to defined, e.g. ϕ angle of highest p_t particle)
- ◆ Since we have to do vertexing, need to define a common primary vertex for the mixed event (weighted average) and refer (translate) all tracks to this common vertex

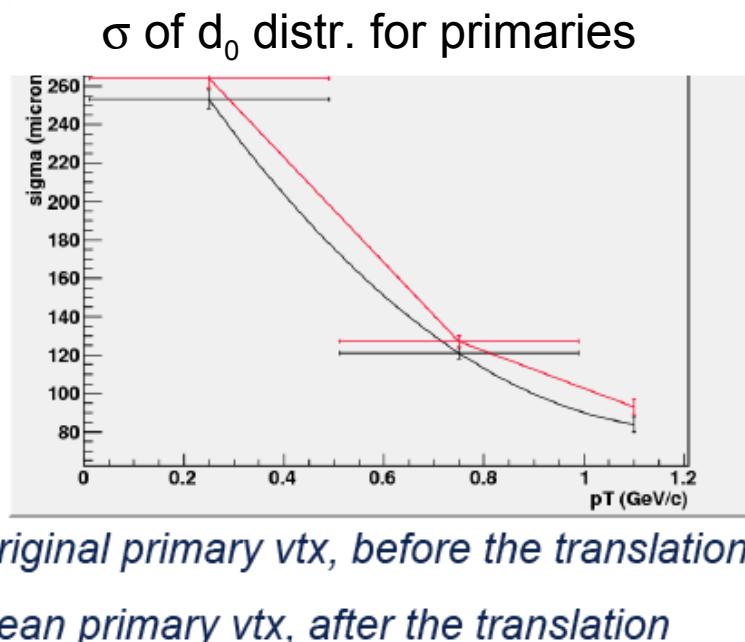
Background subtraction: event mixing

- ◆ Test of impact parameter distribution for tracks in mixed events (R. Romita)

- Example: 2 events mixed

- 3 pT bins:

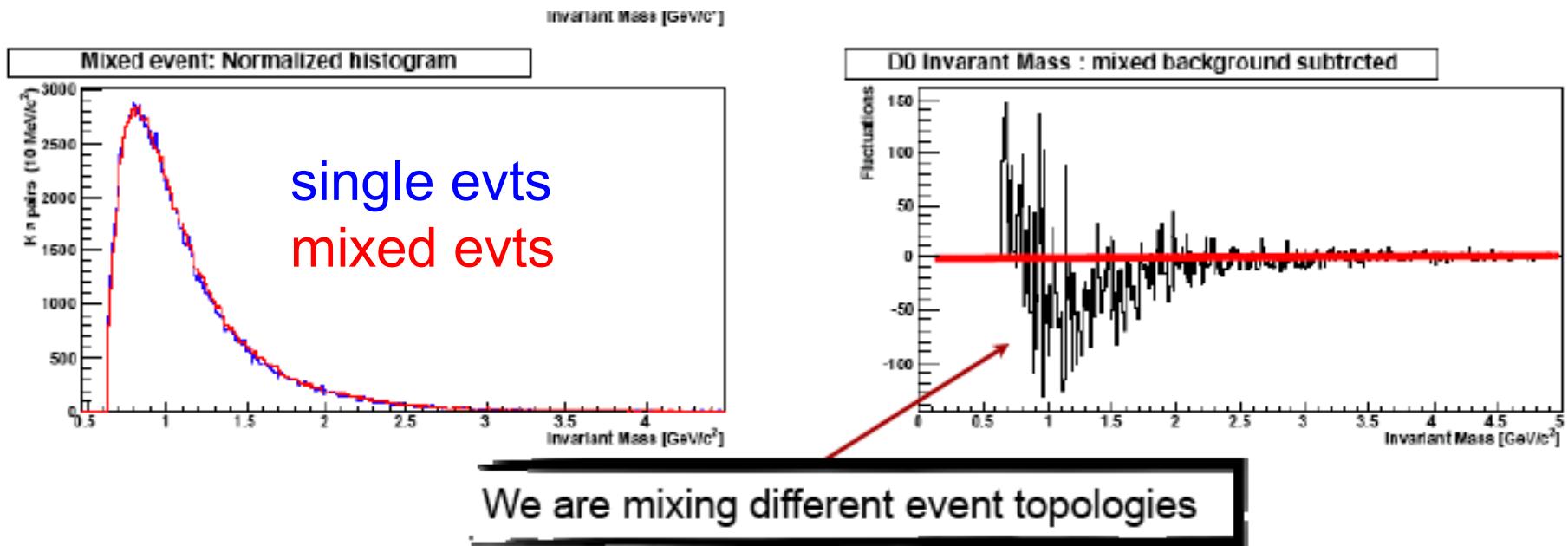
- $pT > 0.5 \text{ GeV}/c$
 - $0.5 < pT < 1 \text{ GeV}/c$
 - $pT > 1 \text{ GeV}/c$



- ◆ Small broadening $\sim 5 \mu\text{m}$: OK
 - ◆ it is expected because tracks are translated using the reco vtx, not the MC vertex

Background subtraction: event mixing

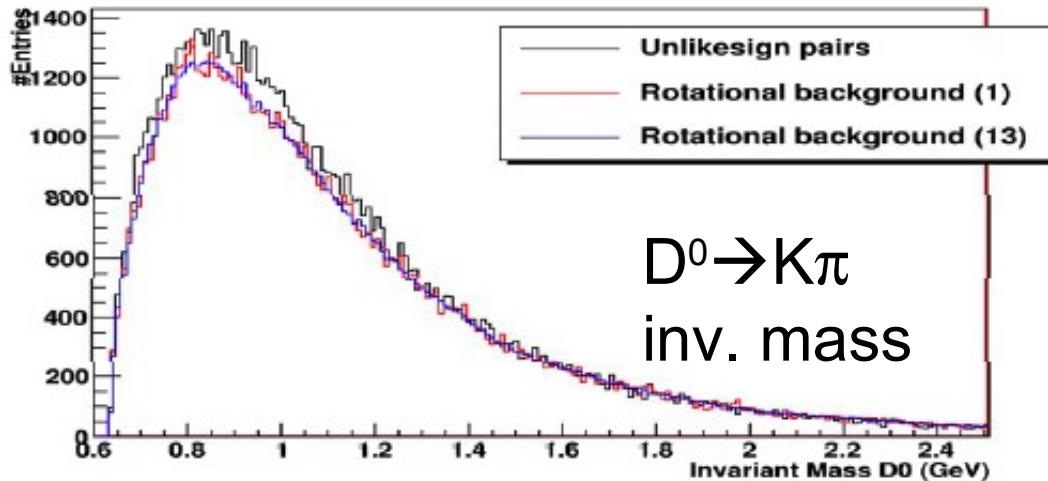
- ◆ First tests on mixed events
- ◆ $D^0 \rightarrow K\pi$ background mass distribution (without vertexing)



- ◆ After subtraction of the mixed-evt background, there is a structure for masses $< 1.5 \text{ GeV}/c$
 - ◆ probably due to the “jettiness” of the events

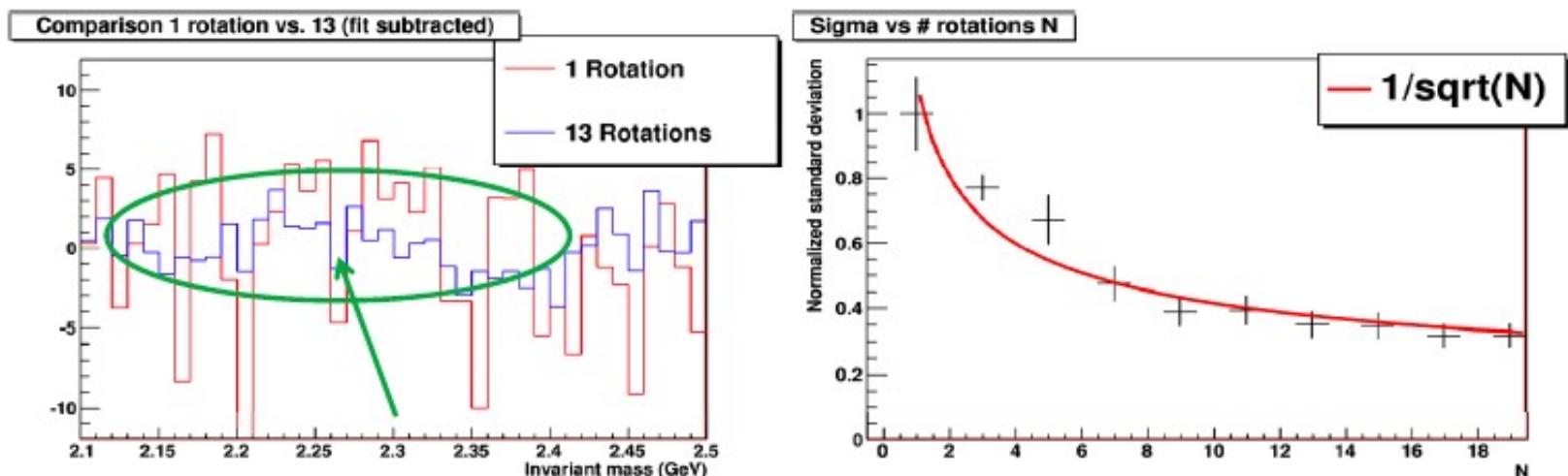
Background subtraction: sub-event rotating

- Rotate the negative tracks by $(180+i \cdot 5)$ deg, $i=1, \dots N$



Figures:

- Increasing the number of rotations reduces the noise on the background
- We note a saturation at ~ 13 rotations.

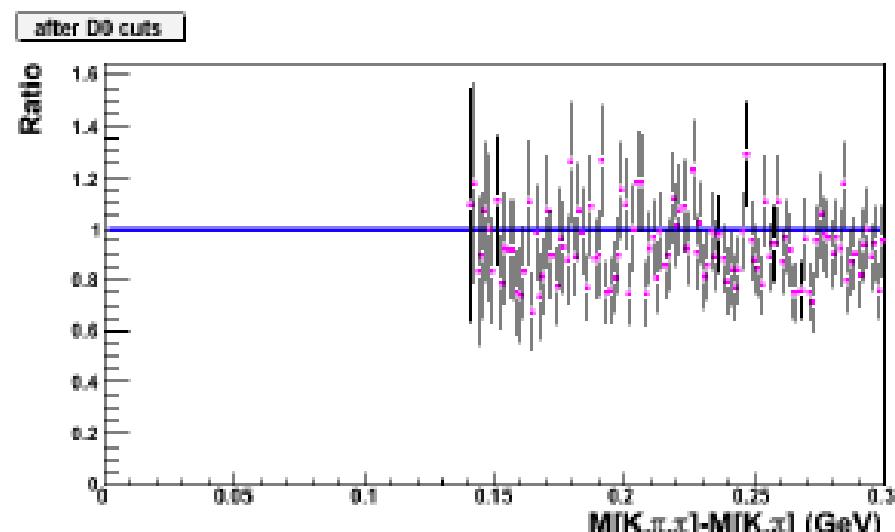
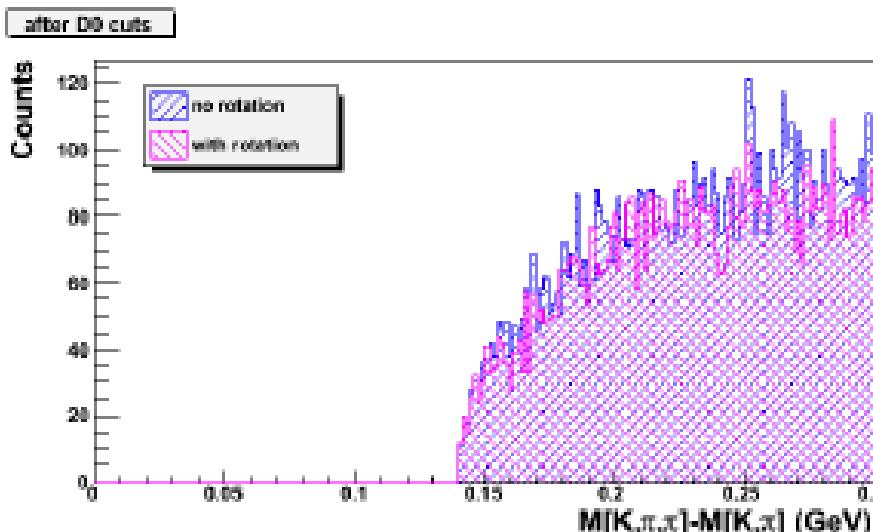


Background subtraction: sub-event rotating

- Rotate the negative tracks by $(180+i \cdot 5)$ deg, $i=1, \dots N$

$D^{*+} \rightarrow D^0 \pi$

$M_{D^*} - M_{D^0}$



HF vertexing

Analysis Scheme & Activities

Charm “production” (ESD/AOD → AOD for Charm)



Signal selection

Invariant mass analysis and significance maximization (in bins of p_t , y , $\phi - \Phi_{RP}$...)

$N_{selected}^{D0 \rightarrow K\pi}$



Corrections

Feed-down from B, Eff&Acc corrections

$$\left. \frac{dN_{produced}^{D0}}{dp_t dy} \right|_{|y|<1}$$



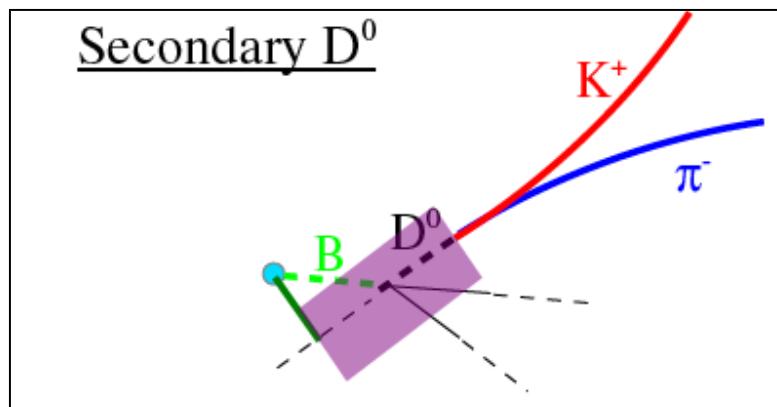
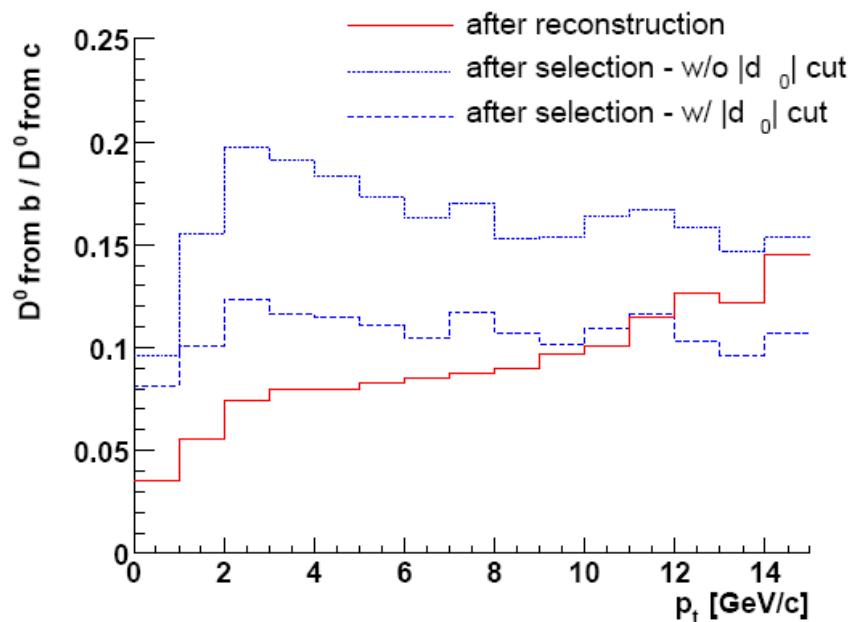
Systematics

$$\left. \frac{dN_{produced}^{D0}}{dp_t dy} \right|_{|y|<1} \pm err$$

Correction for beauty feed-down

- Feed-down $B \rightarrow D^0$ can be up to 15% of measured D^0 signal, after selections
- Can use upper cut on $|d_0|$ to control it
- We are testing a method, developed by CDF, for a direct measurement of the prompt D fraction
- It is based on the impact parameter of the D to the primary vertex

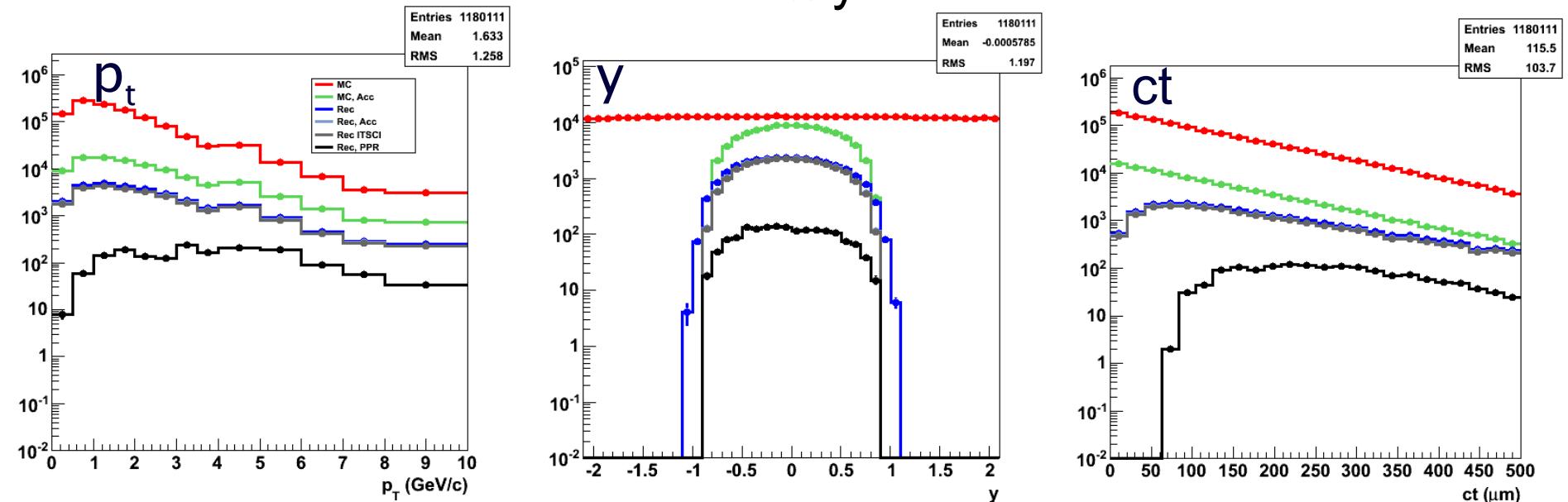
→ Chiara



Corrections

- ◆ Use standard Correction Framework
 - ❖ charm-specific selections (# of points in ITS, ...) implemented (C.Zampolli)
- ◆ Study evolution of the signal yield at the various steps of the simulation/reconstruction

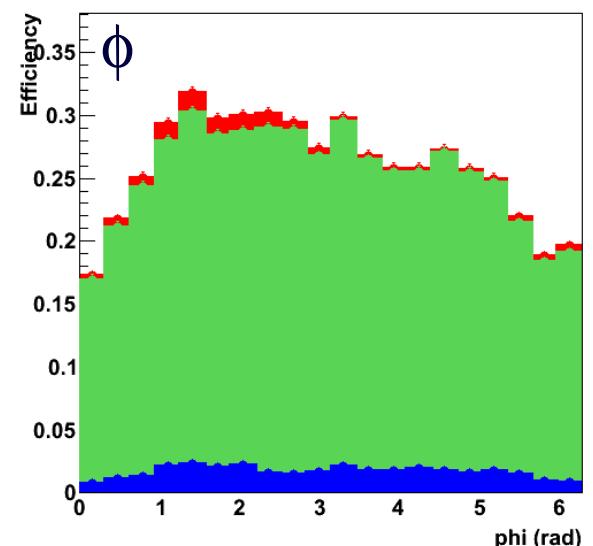
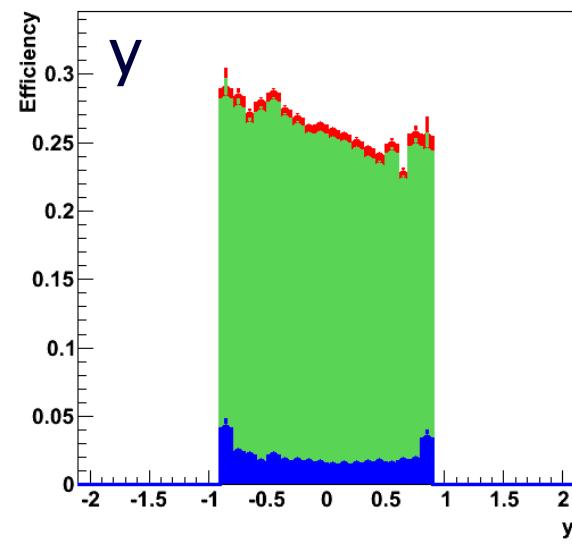
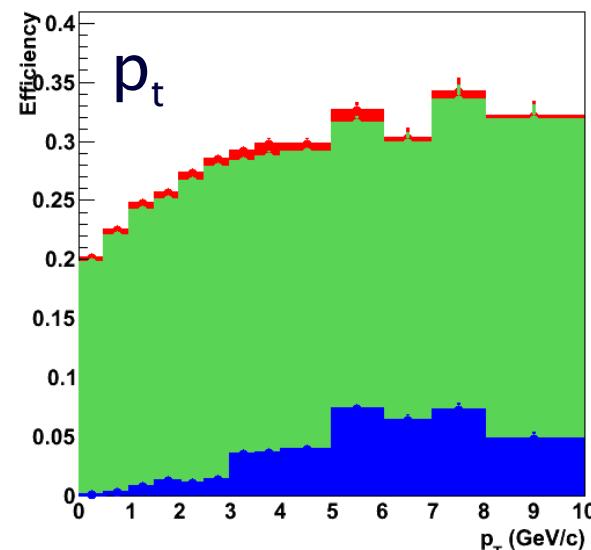
$D^0 \rightarrow K\pi$ yield



Corrections

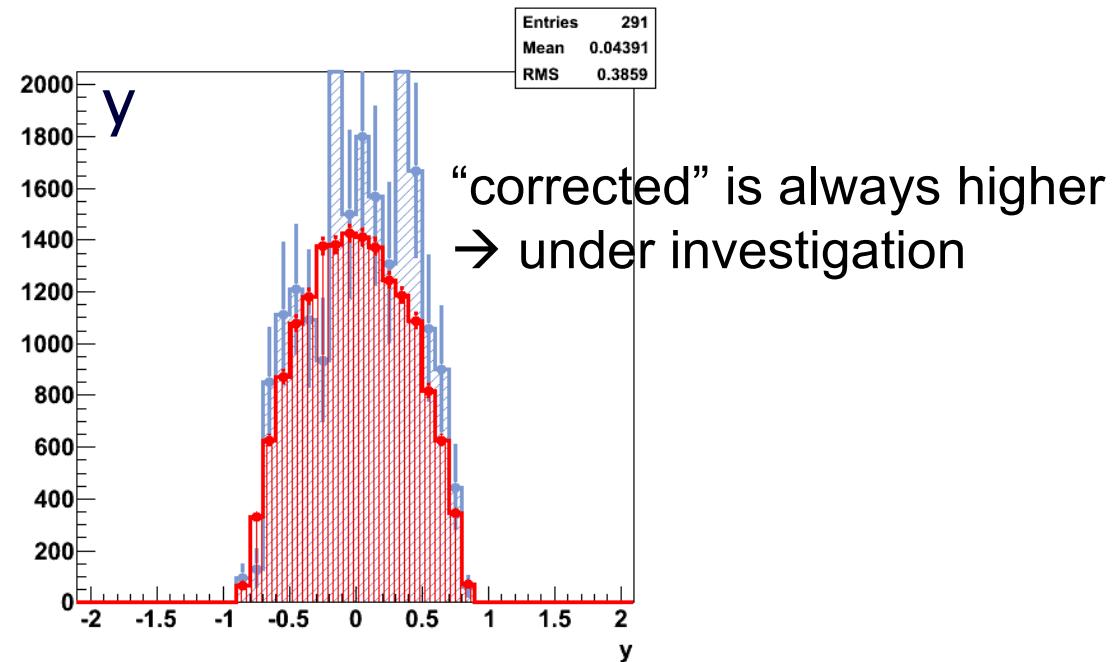
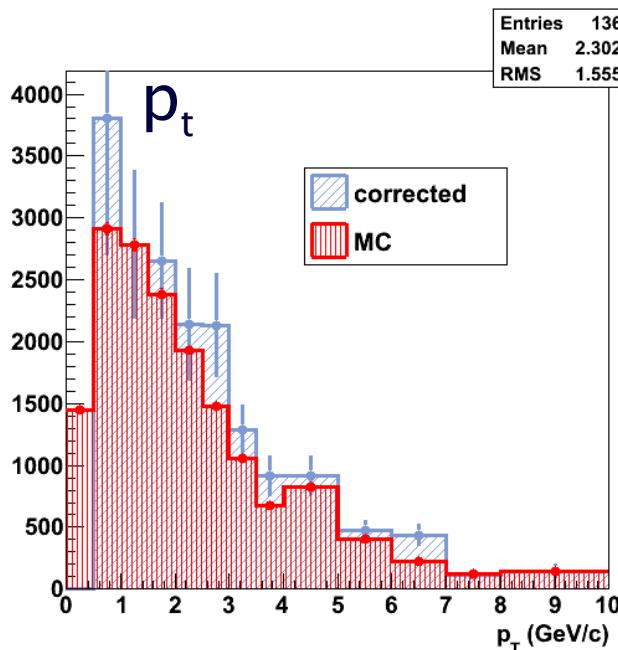
- ◆ Use standard Correction Framework
 - ❖ charm-specific selections (# of points in ITS, ...) implemented (C.Zampolli)
- ◆ Corrections for acceptance and efficiency in one go
 - ❖ however, separate correction maps for various steps available:
 - MC → acceptance → **reco tracks** → **sele tracks** → **sele candidates**

$D^0 \rightarrow K\pi$ efficiency



Corrections

- Exercising the corrections
- Try to correct signal extracted from pp min.bias sample (LHC09a4) with correction maps from pp “forced charm” sample (LHC09a5)
 - the pp “forced charm” simulation requires 100 times less events to have the same signal statistics



Analysis Scheme & Activities

Charm “production” (ESD/AOD → AOD for Charm)



Signal selection

Invariant mass analysis and significance maximization (in bins of p_t , y , $\phi - \Phi_{RP}$...)

$N_{selected}^{D0 \rightarrow K\pi}$



Corrections

Feed-down from B, Eff&Acc corrections

$$\left. \frac{dN_{produced}^{D0}}{dp_t dy} \right|_{|y|<1}$$

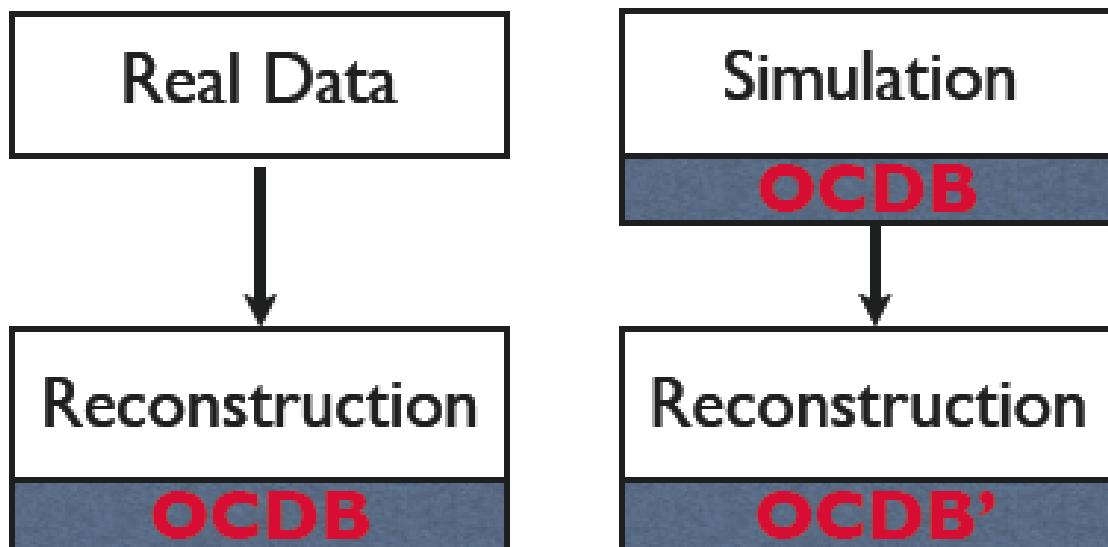


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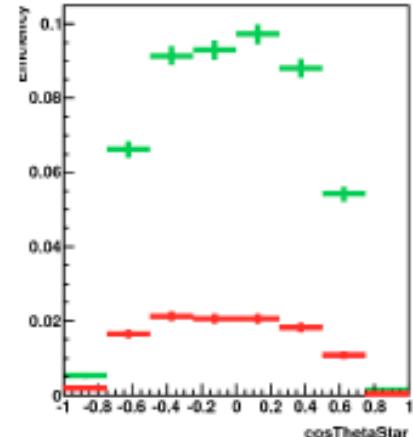
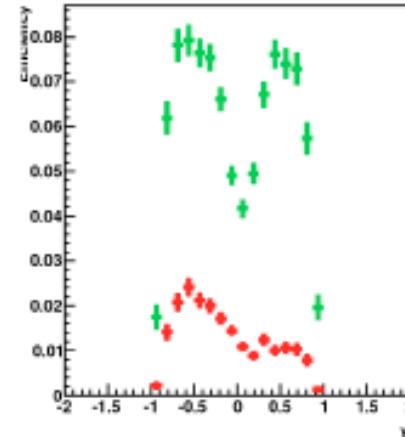
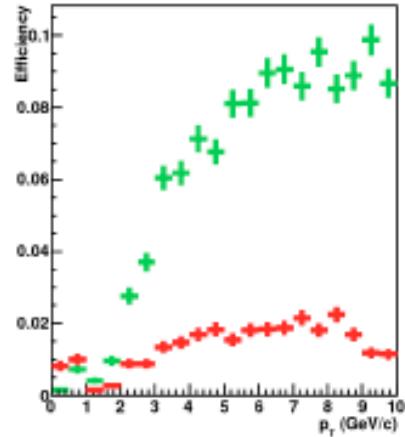
Systematics due to difference between residual misalignment/miscalibration in data and in MC

- Compute corrections (efficiency) from MC several times, using several reco passes with different OCDBs
 - discussion started also within PWG1 → try to identify most relevant parameter(s) for each detector
 - e.g. ITS alignment

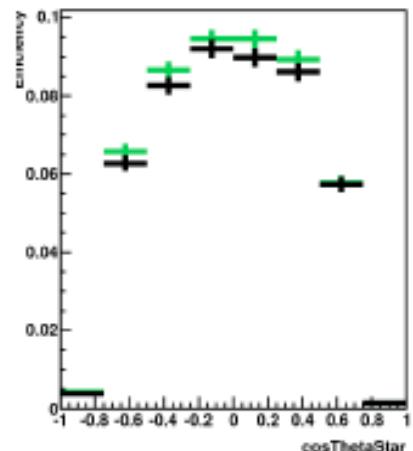
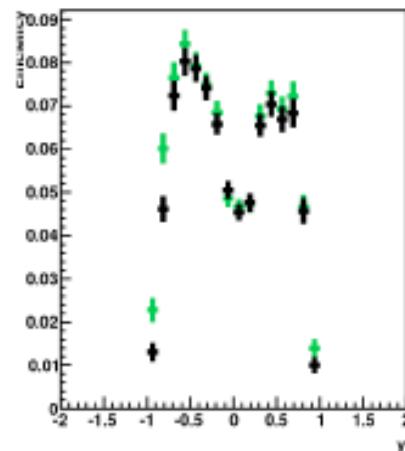
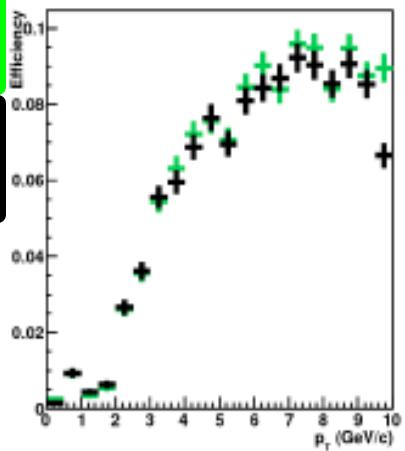


Effect of misalignment on $D^0 \rightarrow K\pi$ efficiency

- Green is zero misalignment
- Red is full misalignment



- Green is zero misalignment
- Black is residual misalignment

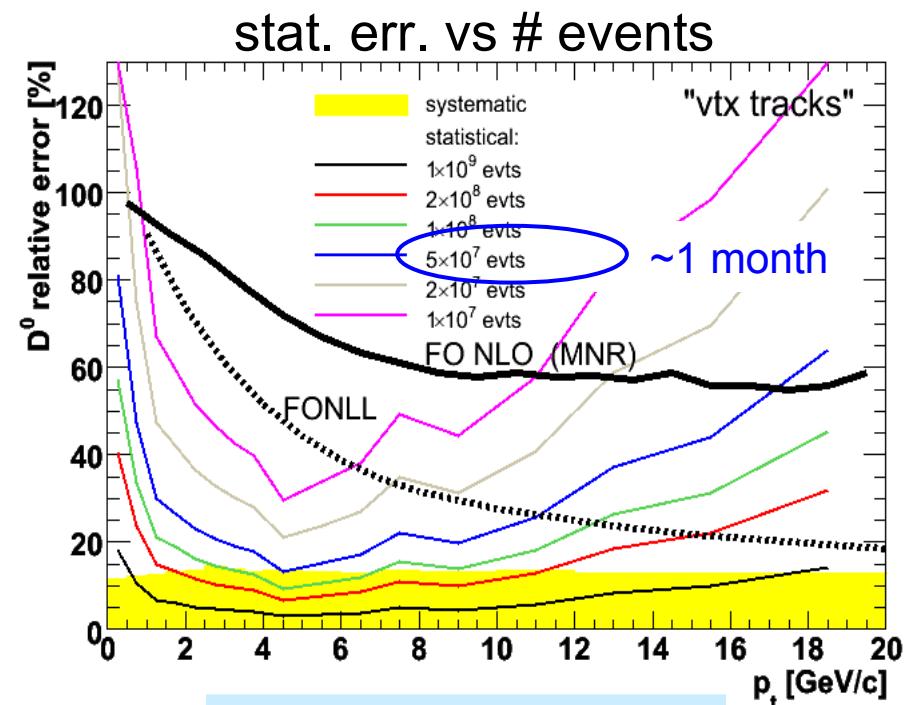
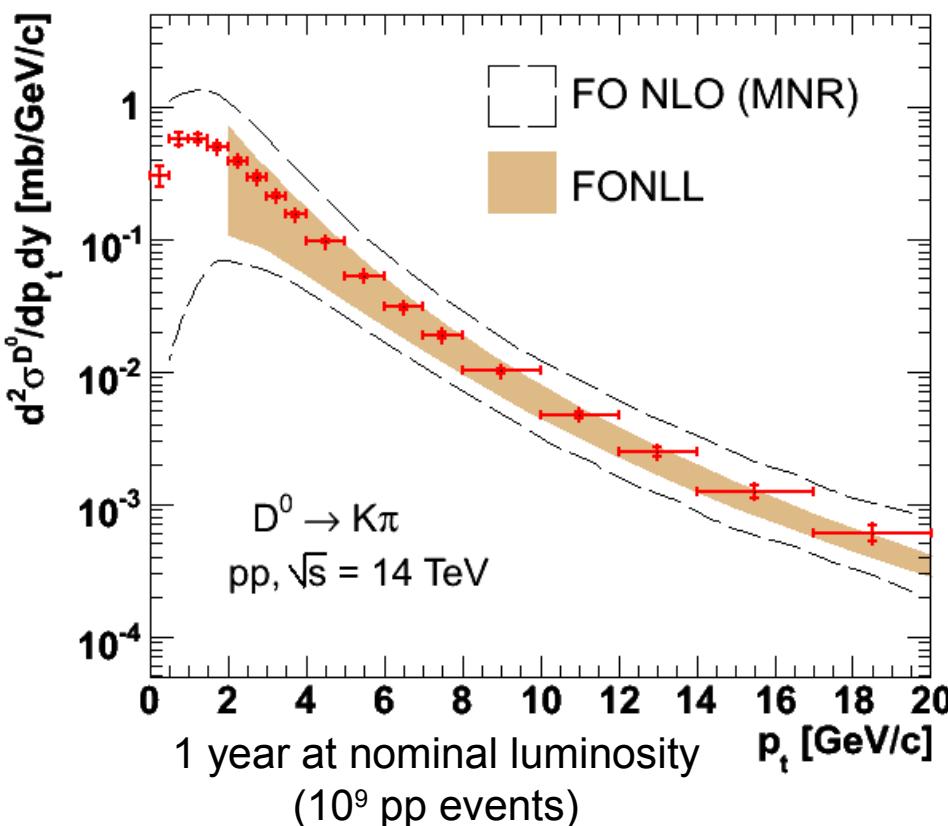


Expected Performance (PPR)

pp, $\sqrt{s} = 14$ TeV

Expected sensitivity in comparison to pQCD:

$$D^0 \rightarrow K\pi$$



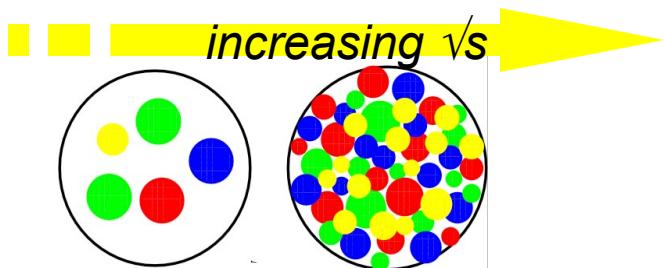
Summary

- ◆ Analysis chain is being finalized and tested on the grid
 - ❖ → results for $D^0 \rightarrow K\pi$ (→ Chiara)
 - ❖ → results for $D^+ \rightarrow K\pi\pi$ (→ Renu)
- ◆ We are already preparing for this analysis using real data
 - ❖ alignment of the ITS with cosmics (→ Marcello, Andrea)

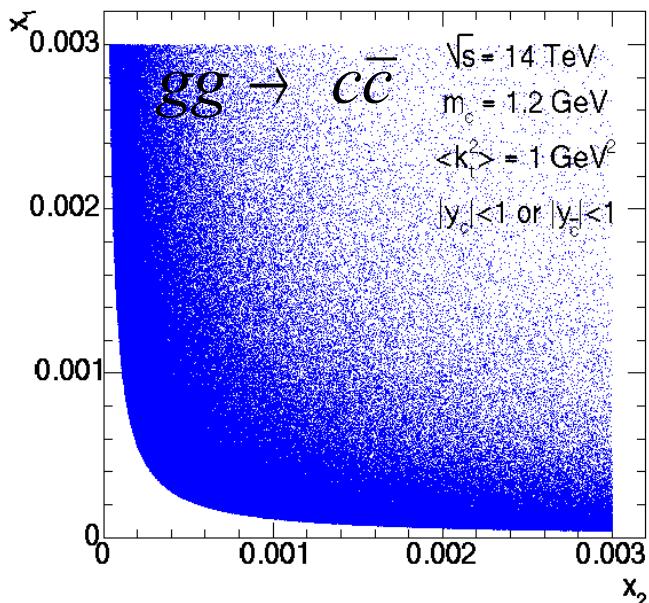
EXTRA SLIDES

Novelties at LHC (2): Small x

- ◆ Probe unexplored small- x region with HQs at low p_T and/or forward y
 - ◆ down to $x \sim 10^{-4}$ with charm already at $y=0$



- ◆ Window on the rich phenomenology of high-density PDFs:
gluon saturation / recombination effects



Probing small- x gluons with HQs (pp)

- Large pQCD uncertainties for charm $p_T \rightarrow 0$
 - onset of saturation ?
- Two attempts to include non-linear terms in evolution equations
 - DGLAP+GLRMQ Eskola et al., NPB660 (2003) 211
 - BK Kutak, Kwiecinski, Martin, Stasto

indicate charm as a sensitive probe in pp

